Flood Control and Flood Plain Management

Agency	***Assistance Provided***
Bureau of Indian Affairs	Grant Funding, Staff Technical Assistance
Army Corps of Engineers	Cost Sharing, Field Training, Staff Technical Assistance, Classroom Training, Grant Funding
Bureau of Reclamation	Grant Funding, Staff Technical Assistance, Field Training, Cost Sharing

revised May,1998

revised May,1998

SELF DETERMINATION GRANTS-INDIAN TRIBAL GOVERNMENTS

Flood Control & Flood Plain Management

AUTHORIZATION: Public Law 93-638, Section 103, as amended, 88 Stat. 2203.

OBJECTIVES: To provide financial assistance to tribal governments so that they can improve their capacity to: (1) plan, conduct and administer Federal programs with special emphasis on improving their ability to contract; and (2) put special emphasis on strengthening and improving tribal governments with respect to their fiscal and managerial capabilities. This special program emphasis is intended to complement the Aid to Tribal Government Program.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Strengthen and improve administration of tribal government, planning, training, evaluation or other activities designed to improve the capacity of a tribe to enter into contracts; acquisition of land for these purposes; planning, designing, monitoring, and evaluating Federal programs serving the Indian tribes. Projects primarily for tribal economic development or enterprises or tribal profit making activities are not considered consistent with Section 103(a) of the Act (i.e., a grant for the purpose of determining the feasibility or subsidizing tribally owned construction company). Projects involving the funding of tribal scholarships or charitable programs are normally not consistent with the purposes for which grants are authorized.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Only governing bodies of federally recognized Indian tribes are eligible to apply for self-determination grants.

Beneficiary Eligibility: Federally recognized Indian tribes.

Credentials/Documentation: The Bureau of Indian Affairs will not make a grant unless specifically and officially requested to do so by a tribal governing body. This request may be in the form of a resolution, or such other forms as the tribal constitution or current practices require. Costs will be determined in accordance with OMB Circular No. A-87, except as noted in appendix A of the regulations.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Informal preapplication conference is recommended. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Assistance is available from the Bureau to aid in preparation of the application. This program is excluded from coverage under E.O. 12372.

Application Procedure: Submit the following to BIA Agency Superintendent: (1) Part I - Public Law 93-638 Grant Application, (2) Part II - Narrative Statement; (3) Part III - Budget Data; (4) Part IV (Construction Program Only); (5) Must be accompanied by Tribal Resolution. (Contact Agency Office for forms and instructions.) This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: Grant application approved or disapproved by Area Director within 60 days. Notification in writing to tribal governing body, followed by final grant agreement negotiation and approval.

Deadlines: Announced annually by the Bureau of Indian Affairs.

Range of Approval/Disapproval Time: 60 days.

Appeals: In accordance with 25 CFR Part 2.

Renewals: Subject to availability of funds.

revised May,1998

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable; grants awarded on competitive basis.

Length and Time Phasing of Assistance: 1 year renewals. Small grants may be awarded in lump sum, larger grants use Treasury advance, cost reimbursement or a Letter of Credit.

POST ASSISTANCE REQUIREMENTS:

Reports: (1) Report of Federal Cash Transactions due within 15 days following the end of each quarter unless otherwise specified in grant agreement; (2) Performance report required along with Financial Status report; and, (3) Final Expenditure report due 90 days after end of grant period.

Audits: In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," State and local governments that receive financial assistance of $100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between $25,000 and $100,000 within the State's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with Federal laws and regulations governing the program in which they participate.

Records: Records to be maintained for a period of 3 years after completion of grant assistance.

FINANCIAL INFORMATION:

Account Identification: 14-2100-0-1-452.

Obligations: (Grants) FY 95 $0; FY 96 are $0; and FY 97 est $0.

Range and Average of Financial Assistance: Up to $35,000 per grant.

PROGRAM ACCOMPLISHMENTS: Tribes have accomplished a variety of projects to provide orientation and training of tribal leaders in the implementation of Public Law 93-638. Tribes have provided specialized technical assistance to tribal managers, program directors, accountants and other administrative staff, and have provided specialized assistance to aid tribes in contracting and for related purposes essential to tribal self-detemination. This program received no funding during fiscal year 1995.

REGULATIONS, GUIDELINES, AND LITERATURE: 25 CFR 272, 278; Procedural Guidelines for the Self-Determination Grants Program; Handbook for Decision Makers.

INFORMATION CONTACTS:

Regional or Local Office: Contact Superintendent at nearest BIA Agency Office.

Headquarters Office: Division Chief, James J. Thomas, Office of Indian Services, Code 480, Division of Self-Determination Services, 1849 C Street, NW, Washington, DC 20240. Telephone: (202) 208-5727. Use the same number for FTS.

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: A tribe commences a youth counseling service to reduce delinquency and improve its law and other programs. A tribe revises its constitution and by-laws to improve its government structure. A tribe updates its membership rules as basis for service. A tribe conducts service and evaluation of BIA programs in order to prepare program modifications.

CRITERIA FOR SELECTING PROPOSALS: All eligible proposals funded for an amount not exceeding the tribe's program allocation.

revised May,1998

TRAINING AND TECHNICAL ASSISTANCE - INDIAN TRIBAL GOVERNMENTS

(Self-Determination Training and Technical Assistance)

Flood Control & Flood Plain Management

AUTHORIZATION: Public Law 93-638, Section 103, as amended, 88 Stat. 2203.

OBJECTIVES: To aid Indian Tribes to exercise self-determination in accord with Public Law 93-638.

TYPES OF ASSISTANCE: Project Grants (Contracts); Advisory Services and Counseling; Provision of Specialized Services; Training.

USES AND USE RESTRICTIONS: Use is restricted to activities which further Indian Self-Determination according to Public Law 93-638 and implementing regulations: Provide training and technical assistance options; enhance tribes' understanding of the Act; help develop skills needed to utilize options; enhance capability to contract for Bureau and other Federal programs; strengthen tribal government; utilize Personnel use options; and improve capabilities to direct Bureau and other Federal programs. The Bureau is obligated to offer a tribe training and technical assistance to overcome grounds for declining to contract with a tribe. Also, regulations mandate other instances in the contracting and grant process where technical assistance is offered to tribes. In all instances, tribes are free to accept or reject such offers. Counseling and advisory services are dependent upon availability of Bureau/Federal personnel. Financial assistance is dependent upon funds available within each Area and establishment of tribal priority needs within the Area.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Governing body of any federally recognized Indian tribe.

Beneficiary Eligibility: Federally recognized Indian tribes.

Credentials/Documentation: A tribal request in the form of a resolution or some other form in accord with the tribal constitution or current practice shall accompany the request. For construction contracts, Federal Acquisition Regulations or Contract procedures of Public Law 93-638 may apply; for grants, costs will be determined in accordance with OMB Circular No. A-87, except as noted in Appendix A of 25 CFR.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Informal preapplication conference is recommended. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure: Submit the following to BIA Agency Superintendent: (1) Part I - Public Law 93-638 Grant Application; (2) Part II - Narrative Statement; (3) Part III - Budget Data; (4) Part IV-(Construction Program Only): (5) Must be accompanied by Tribal Resolution. (Contact Agency Office for forms and instructions. This program is excluded from coverage under OMB Circutar No. A-110.

Award Procedure: Grant application approved or disapproved by Area Director within 60 days. Notification in writing to tribal governing body, followed by final grant agreement negotiation and approval.

Deadlines: Announced annually by the Bureau of Indian Affairs.

Range of Approval/Disapproval Time: 60 days.

Appeals: In accordance with 25 CFR Part 2.

Renewals: Subject to availability of funds.

revised May,1998

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable; grants awarded on a competitive basis.

Length and Time Phasing of Assistance: 1 year renewals. Small grants may be awarded in lump sum, larger grants use treasury advance, cost reimbursement or a Letter of Credit.

POST ASSISTANCE REQUIREMENTS:

Reports: 1) Report of Federal Cash Transactions due within 15 days following the end of each quarter unless otherwise specified in grant agreement; (2) Performance report required along with Financial Status report; and, (3) Final Expenditure report due 90 days after end of grant period.

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Records: Records to be maintained for 3 years after completion of project activity.

FINANCIAL INFORMATION:

Account Identification: 14-2100-0-1-452.

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Range and Average of Financial Assistance: Up to $35,000 per grant.

PROGRAM ACCOMPLISHMENTS: Tribes have accomplished a variety of projects to provide orientation and training of tribal leaders in the implementation of Public Law 93-638; tribes have provided specialized technical assistance to tribal managers, program directors, accountants and other administrative staff, and provided specialized assistance to aid tribes in contracting and for related purposes essential to tribal self-detemination. This program received no funding during FY 1995.

REGULATIONS, GUIDELINES, AND LITERATURE: 25 CFR 272, 278; Procedural Guidelines for the Self-Determination Grants Program; Handbook for Decision Makers.

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RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: A tribe commences a youth counseling service to reduce delinquency and improve its law and other programs. A tribe revises in constitution and by-laws to improve its government structure. A tribe updates its membership rules as basis for service. A tribe conducts service and evaluation of BIA programs in order to prepare program modifications.

CRITERIA FOR SELECTING PROPOSALS: Eligible proposal, funded up to the allocated program amount for each tribal government.

revised May,1998